

# Rollover Form

Hunterdon Radiological Associates, P.A. Employees' Profit Sharing Plan  
Hunterdon Imaging, P.A.  
60816-1-2

## 1 Enter your personal information (Please print clearly)

Participant's Name (First, Middle Initial, Last)		Participant's Social Security Number (SSN)
Street Address	Apt. No.	Birthdate: mm - dd - yyyy
City	State	Zip
( )	( )	
Daytime Phone	Evening Phone	E-mail Address
Marital Status: <input type="checkbox"/> Married <input type="checkbox"/> Single or Legally Separated		<input type="checkbox"/> Check here to sign up for e-delivery*

## 2 Supply your rollover contribution information

### Rollover

	TAXABLE PORTION (include investment income)	+	NONTAXABLE (e.g., participant after-tax contributions)	-	TOTAL Rollover Contribution
Amount of Rollover Contribution:	\$ _____		\$ _____		\$ _____

### Roth Rollover

Please contact your Plan administrator regarding whether or not the type of money you are rolling over is allowed in the plan.

Name of Prior Plan: \_\_\_\_\_

Amount of Rollover Contribution: Roth Contributions \$ \_\_\_\_\_ + Roth Earnings \$ \_\_\_\_\_ - Total Rollover \$ \_\_\_\_\_

**Note: Roth Contributions can only be rolled over as part of a direct rollover from a Roth 401(k) plan. Roth IRA Contributions cannot be rolled over to a Roth 401(k) plan.**

**Roth Rollover Information: Complete only one.**

This is a Roth qualified distribution (I am at least 59½, or the distribution is the result of death or disability the required 5-taxable-year period was satisfied)

The Roth contribution start date is: \_\_\_\_\_ (enter first taxable year in which Roth contributions were made or based upon a previous rollover contribution, if earlier)

**Note: Rollovers cannot include required minimum distributions. Participant after-tax contributions can only be included in a direct rollover from a qualified plan.**

I certify that this distribution is not (a) one of a series of substantially equal payments payable over my life or single life expectancy or the joint life or joint life expectancies of myself and my designated beneficiary; (b) one of a series of installment payments payable over 10 years or more; (c) all or part of a required minimum distribution; (d) a distribution due to financial hardship; (e) a return of any excess deferrals, excess contributions, excess aggregate contributions or excess annual additions made to the plan; or (f) a deemed distribution due to a loan default. Finally, I certify that this rollover is being accomplished within 60 days of my receipt of the distribution from my prior employer's qualified retirement plan.

**PAYMENT:** Make payable to State Street Bank. Include the employee's last 4 digits of the social security number and the new plan's account number on the check

Check attached

Wire or Check sent separately to MassMutual Retirement Services by the Prior Plan.

Provide a completed Direct Rollover Request form to your Prior Plan Administrator for wiring or mailing instructions.

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## Choose one of the investment strategies below

Your investment strategies are outlined on the following pages. Choose the selection that works for you.

**IMPORTANT NOTE:** This investment election applies to your rollover and all future contributions. Investment allocation strategies are a convenient way of allocating your account among certain of the plan's individual investment options. Any investment allocation strategies included in these materials are not intended to be investment advice or recommendations to you and may or may not be appropriate for your circumstances. In applying investment allocation strategies to your individual circumstances, you should consider your other assets, income and investments as well as your risk tolerance. If you direct your contributions or current account balance to an investment allocation strategy, your contributions or account balance will be invested in each of the individual investment alternatives in the percentages indicated for the strategy. The plan may offer other investment options not included in the strategies and the individual investment alternatives included in the strategies may also be available on a stand-alone basis. The Investment Portfolio chart lists asset classes, along with their weightings in the allocation strategy. Additional investment options may exist that are not included in the portfolio. When selecting your investments, choose only **ONE** portfolio from any of the strategies, sign the form and you're done **OR** you can select individual investment options (under Option D) and build your own portfolio. See below for a complete list of options. The investment options available in this plan may change at the direction of the Plan Sponsor. Elections made on this form may be modified to follow the intent of those changes.

Until you make your investment selection, your contributions will be invested in the Target Asset Allocation Investment Option listed below which has the target retirement date closest to your 65th birthday. If you are near, at or past your 65th birthday, your contributions will be invested in the target asset allocation investment option that shows no target retirement date. Following your enrollment, you will receive a transaction confirmation that will tell you specifically in which Target Asset Allocation Option your contributions have been invested. Subject to certain restrictions, you may redirect your contributions to any other investment option under the Plan at any time.

### A: Risk-Based Investment Option (If you make a selection here, do not make a selection under any other option.)

If you select one of these managed investment options, based on the results of your Investor Profile Quiz, you are almost done! Check the appropriate box and go to Step 4.

*Risk-Based Investment Options are single solutions that offer professional investment management and monitoring as well as diversification, all in one investment.*

- MFS Conservative  
Allocation Fd

or

### B: Age-Based Investment Option (If you make a selection here, do not make a selection under any other option.)

If you select one of these Asset Allocation investment options, based on the date closest to the year you plan to retire, you are almost done! Check the appropriate box and go to Step 4.

*Target Asset Allocation Investment Options are single solutions that offer professional management and monitoring as well as diversification all in one investment. Each investment option has an automatic process that invests more conservatively as retirement nears and the options are named to coincide with a particular retirement date. Your plan is designed to invest your contributions into one of these options as the default investment based on your date of birth and a projected retirement age of 65. You may always choose new investment options at any time.*

- |  |  |  |  |  |
|--|--|--|--|--|
| <input type="checkbox"/> T. Rowe Price Retirmnt<br>Bal Fd  | <input type="checkbox"/> T. Rowe Price Retirmnt<br>2005 Fd | <input type="checkbox"/> T. Rowe Price Retirmnt<br>2010 Fd | <input type="checkbox"/> T. Rowe Price Retirmnt<br>2015 Fd | <input type="checkbox"/> T. Rowe Price Retirmnt<br>2020 Fd |
| <input type="checkbox"/> T. Rowe Price Retirmnt<br>2025 Fd | <input type="checkbox"/> T. Rowe Price Retirmnt<br>2030 Fd | <input type="checkbox"/> T. Rowe Price Retirmnt<br>2035 Fd | <input type="checkbox"/> T. Rowe Price Retirmnt<br>2040 Fd | <input type="checkbox"/> T. Rowe Price Retirmnt<br>2045 Fd |
| <input type="checkbox"/> T. Rowe Price Retirmnt<br>2050 Fd | <input type="checkbox"/> T. Rowe Price Retirmnt<br>2055 Fd |  |  |  |

or

### C: Custom Portfolio Investment Option (If you make a selection here, do not make a selection under any other option.)

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Custom portfolios, based on different risk tolerances, have been arranged using the individual funds available to your plan. The amounts of each individual fund contained in the different Custom Portfolio options are shown on the right side of the following fund list. If you select one of these Custom Portfolio investment options, you are almost done! Check the appropriate box and go to Step 4.

Short Term       Conservative       Moderate       Aggressive       Ultra Aggressive

or

## D: Individual Fund Option (If you enter selections here, do not make a selection under any other option.)

First, select the individual funds in which you wish to invest. Then, enter the percentage of your contributions to be invested in each of those funds in the space provided. Make sure selections are whole percentages and total 100%. When they add up to 100%, you are almost done! Go to Step 4.

Investment Options	All Contributions	Short Term	Conservative	Moderate	Aggressive	Ultra Aggressive
SAGIC Diversified Bond 80816	_____ %	95%	21%	6%	2%	.
Prudential Shrt-Trm Corp Bd Fd	_____ %	.	.	.	.	.
Metropolitan West TI Rtn Bd Fd	_____ %	5%	46%	32%	12%	.
Fidelity Advsr Strat Incm Fd	_____ %	.	.	.	.	.
BlackRock High Yield Bond Fund	_____ %	.	.	.	.	.
Templeton Global Bond Fund	_____ %	.	3%	2%	2%	.
Amer Funds Balanced Fund	_____ %	.	.	.	.	.
MFS Conservative Allocation Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt Bal Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2005 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2010 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2015 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2020 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2025 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2030 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2035 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2040 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2045 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2050 Fd	_____ %	.	.	.	.	.
T. Rowe Price Retirmnt 2055 Fd	_____ %	.	.	.	.	.
American Funds Amer Mutual Fd	_____ %	.	3%	6%	8%	10%
JP Morgan US Equity Fund	_____ %	.	10%	18%	28%	34%
T. Rowe Price BI Chp Grwth Fnd	_____ %	.	3%	6%	8%	10%
JP Morgan Mid Cap Value Fund	_____ %	.	.	1%	2%	1%
Invesco Mid Cap Core Equity Fd	_____ %	.	2%	6%	6%	7%
Ivy Mid Cap Growth Fund	_____ %	.	.	1%	2%	1%
Fidelity Advisor Small Cap Fnd	_____ %	.	3%	4%	6%	7%
Ivy Small Cap Growth Fund	_____ %	.	2%	4%	5%	6%
AmerFunds EuroPacific Gr Fund	_____ %	.	2%	5%	7%	9%
American Funds New Prspctve Fd	_____ %	.	2%	4%	7%	8%
Virtus Emrg Mrkts Opport Fd	_____ %	.	1%	2%	3%	3%
Prudential Gbl Real Estate Fd	_____ %	.	2%	3%	4%	4%
PIMCO Cmdty Real Rtn Strat Fd	_____ %	.	.	.	.	.

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## Sign, date and return your forms

I certify that the above Rollover Contribution was an eligible rollover distribution from either a 401(a) qualified plan, 403(a) qualified annuity plan, 401(b) tax-sheltered annuity plan, traditional IRA, or 457(b) government plan.



Participant's Signature

Date

**IMPORTANT NOTE: IF YOU ENROLL BY MAILING THIS FORM TO MASSMUTUAL, BUT THEN SUBSEQUENTLY CHANGE YOUR ELECTIONS THROUGH THE AUTOMATED PHONE LINE OR THE PARTICIPANT WEBSITE, THE MOST RECENTLY DATED ACTIVITY WILL PREVAIL.**

*If you have selected an investment strategy and one or more of the strategy's component investments listed on your form has been replaced, any contributions that would have been invested in that component investment will be invested according to the investment allocation in effect at the time the strategy is implemented and the new component will be listed on your confirmation form.*

*Investors should consider an investment's objectives, risks, charges and expenses carefully before investing. For this and other information, see the prospectus available from your plan sponsor, on the participant website at [www.retiresmart.com](http://www.retiresmart.com) or by contacting our Participant Information Center at 1-800-743-5274 between 8:00 a.m. and 9:00 p.m. ET, Monday through Friday. Read it carefully before investing.*

*\*If you have elected e-delivery in Section 1 above, you are consenting to receive announcements regarding electronically-available materials for your retirement plan online. A link to the available materials will be contained within the e-mail announcement. Materials referenced within the e-mail announcement may be viewed electronically, or printed via the internet. Documents will be posted either in HTML or PDF format. By signing up for this service, you are verifying that you possess the ability to view and download HTML and PDF documents. These documents are required under Title I of ERISA and may include, for example, a Summary Plan Description, a Summary of Material Modification, individual benefit statements, investment related information, as well as any notice or communication required under the Internal Revenue code including, but not limited to, loan notes, notices of interest parties, and notices of available distribution options. Enrollment in MassMutual's e-delivery notification program will continue as long as your e-mail account remains active, or until you elect to cancel your enrollment. In the event of an invalid or illegible e-mail address, full mailbox, spam settings or if you have selected e-delivery without providing an email address, MassMutual will send printed material via mail. Also, if you have previously established an e-delivery election and have not selected e-delivery on this form, your election will not be canceled. The election or cancellation date of the e-mail notification program may result in notifications remaining in your existing delivery method for a short period of time. Adobe Acrobat Reader version 7.0 or higher is required to view retirement statements. Visit [www.adobe.com](http://www.adobe.com) for a free download.*

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